A rate control method for QoE improvement in video streaming services based on a human cognitive model

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Abstract Recently, over-the-top video service providers focus on the quality of experience (QoE) as an important factor when they provide video content. Considering that the user preference for video quality differs user by user, it is indispensable to control a video bitrate according to the preference of each user in order to improve the QoE of each user. Then it is indispensable to recognize the information of the user’s device and the network quality in order to control a bitrate appropriately according to the user. Therefore, in this research, in order to maximize the QoE of individual users even in the environment where the network quality fluctuates, we propose a method to properly recognize observation information and select a bitrate suitable for user preference. Here, we assume that a user preference information for video quality is given and consider two preference types of users: “prefer high image quality” and “prefer stable image quality.” Simulation evaluation shows that the average bitrate is improved by up to 16% compared with the existing method for “prefer high image quality,” and the bitrate variation is reduced by 52% – 121% for “prefer stable image quality.” Through the simulation we showed that our method can an appropriate bitrate control according to the user preference.

Key words Video streaming, adaptive streaming, human brain, decision making

1. introduction

Most people nowadays carry mobile devices to access information on the Internet and use various services. Also, the amount of video traffic is increasing at a drastic pace. Cisco VNI [1] forecast that global mobile data traffic will grow seven-fold over five years from 2016 to 2021, and video traffic will account for 78% of the world’s mobile data traffic by 2021. This increase in mobile traffic...
intensifies the degree of fluctuation in mobile traffic, and the range of fluctuation in the quality of service (QoS) level, which can be represented by the throughput, delay time, and packet loss rate, is thus increasing. Although a QoS guarantee is an objective of network service providers, it faces many challenges because there are various factors destabilizing the QoS, such as the inherent variability in signal strength, interference, noise, and user mobility [2] in addition to the increase in mobile traffic. These factors make it harder to guarantee the QoS of mobile devices.

From the viewpoint of over-the-top video service providers, the quality of experience (QoE) is attracting attention as an important factor when they provide video content. There are several reasons for this. One reason is the diversification of user context in the use of mobile devices; i.e., many types of devices, services, and communications. The QoE is a concept of subjectively perceived quality that was introduced in [3], and techniques that maximize user QoE are essential.

Today, most video streaming service providers, such as YouTube and Netflix, provide video content to users with adaptive bitrate control techniques according to the user and QoS context. DASH (Dynamic Adaptive Streaming over HTTP, also known as MPEG-DASH) [4] is one of the standards of HTTP Adaptive Streaming (HAS). Using DASH, the video player can dynamically switch among quality levels/representations, which means different bitrate levels, of the user’s watching video while viewing in accordance with the QoS and the current quality of video.

In DASH systems, an original video content is encoded into multiple encoded videos at different bitrates, and each encoded video is then partitioned into videos of a fixed length (generally a few seconds), which are called chunks or segments (where we use the term segments). Every finishing download of a segment, a client selects a next segment to download according to an adaptive bitrate (ABR) algorithm that is implemented generally in an application layer of the client.

Recent research has proposed various ABR algorithms for increasing the user QoE. General ABR algorithms estimate the instantaneous network quality and use it as a decision criterion. However, as mentioned above, network conditions can fluctuate over time and are unstable for mobile devices, and the accurate estimation of network conditions is therefore difficult. This results in degrading the user QoE because client applications (1) cannot fully utilize network resources through ABR algorithms, (2) frequently switch the bitrate in response to fluctuating decisions made by an ABR algorithm, and (3) request a higher bitrate than the network bandwidth, which leads to video rebuffering.

Many research focusing on improving video user QoE have been studied [2], [5], but most of them have not been sufficiently considered on the difference of user preference. Since the preference for video quality differs user by user, factors for improving the user QoE also differ user by user. For example, some users prefer higher video quality, some users place more emphasis on not stopping video playback, and some users prefer more stable video quality. Therefore, in considering improvement of the QoE of different users, a bitrate selection algorithm according to each user’s preference type should be different.

In this paper, we propose a bitrate control method that maximizes the QoE of individual users even in the environment where the QoS fluctuates. There are three problems to realize the method. The first problem is how to obtain a correct user preference model, the second problem is how to deal with the fluctuating QoS, and the third is how to choose the bitrate. On the first problem, there are some research aiming at estimating the user QoE and clarifying factors that affects QoE in video viewing. In order to obtain the real QoE model of video viewing users, their degrees of satisfaction have to be measured in a subjective manner. It is expected that such real QoE can be acquired by several methods, such as user’s answers by using a good/bad buttons or estimation using user’s Electroencephalogram. In this paper we assume that the model of user preference on its QoE is given and under the assumption, we solve the second and third problems.

We propose a method to properly recognize observation information including QoS and select a bitrate suitable for user preference. Our proposed method recognizes the condition of the network and application in the client device by using a human cognitive model, the Bayesian attractor model (BAM) ([6]), which models cognition and decision making of the human brain, as the name suggests, according to the Bayesian inference. Based on the cognitive result and user preference, our method selects a video bitrate during video reproduction.

In our method, the BAM is implemented in the client MPEG-DASH video streaming application, and it perceives information available in the application layer and recognizes the network and application conditions of the client. Our method selects a video bitrate according to the BAM’s cognitive result. Then we prepare a bitrate selection algorithm suitable for each user preference. In this paper, we use a QoE model where the user QoE is calculated by “average bitrate,” “average bitrate variations,” and “rebuffering time.” User preferences to the video quality can be represented by coefficients in the model. We propose bit rate selection algorithms according to some user preference types, and by providing a suitable algorithm for individual users, our method improves the QoE of the individual users.

2. Related work

2.1 Video QoE

The QoE is a measure of the degree of user satisfaction with a service. Past studies on the QoE of a video streaming service show that the QoE is strongly correlated with video player events (e.g., rebuffering, a change in video quality, and start-up delay). Some papers describe that the QoE relies on the start-up delay (e.g., [7], [8]) while other papers show that the QoE relies on rebuffering [7]~[9], the played bitrate [10], [11], and the bitrate change ratio [9], [10].

There are also studies that estimate the user QoE using important factors of the QoE. Reference [11], for example, presents a user experience model that can quantitatively measure the QoE of the ABR video streaming service and designs the model with three factors of the QoE, the initial (start-up) delay, stalling (rebuffering), and variation of video quality.

2.2 ABR algorithms

Various ABR algorithms have been proposed and they can be
broadly classified into three categories according to the feedback information they use [12]: throughput-based [13], [14], buffer-based [15], [16], and hybrid/control theory-based [17], [18]. Because ABR algorithms work in the application layer of the client device, they generally decide the appropriate video bitrate for the next segment to be downloaded, according to information available to the application layer of the client (e.g., playback buffer occupancy, and TCP throughput estimated by the application layer). Here, it is difficult to estimate accurate network conditions because network conditions can fluctuate over time and vary across environments. Inaccurate estimation can lead to inappropriate bitrate selections, resulting in lower video quality or frequent bitrate switching or rebuffering.

2.3 Bayesian attractor model

This section explains the Bayesian attractor model (BAM) proposed in [6] and our extension of the BAM. The BAM models a human’s brain, which accumulates sensing information of the external field and makes a decision using the Bayesian inference framework.

The BAM has a decision state \( \mathbf{z} \) as its internal state and updates \( \mathbf{z} \) according to an internal generative model that has stable fixed points (attractors). Note that the authors of [6] used winner-takes-all dynamics for the generative model of the BAM. Internally, the BAM has several decision alternatives, and each alternative \( i \) corresponds to each attractor \( \phi_i \). Since \( \mathbf{z} \) is a hidden variable, in the cognitive process model, the BAM estimates the posterior density function of \( \mathbf{z} \) by using the Bayesian inference. In the decision-making process model, the BAM checks whether a probability density when \( \mathbf{z} = \phi_i \) exceeds a threshold value.

The cognitive process model discriminates attractors by comparing the perceived information with past experience and memory. Past experience and memory are linked to \( K \) attractors. For more detail, the state vector of \( \phi_i \) (\( i = 1 \cdots K \)), is associated with past experience and memory by a feature vector \( \mathbf{\mu}_i \). As mentioned above, the generative model of the BAM uses a nonlinear dynamics with these \( K \) attractors \( \{\phi_1 \cdots \phi_K\} \). In the BAM, decision state \( \mathbf{z} \) is updated by the following equation.

\[
\mathbf{z}_t = \mathbf{z}_{t-\Delta} + \mathbf{\Delta} \mathbf{g} (\mathbf{z}_{t-\Delta}) + \sqrt{\mathbf{\Delta}} \mathbf{w}_t ,
\]

(1)

where \( \mathbf{z}_t \) is updated from one time step to the next and \( g(\cdot) \) denotes the attractor dynamics [19]. \( \mathbf{\Delta} \) means the update interval of the dynamics, \( \mathbf{w}_t \) is a white noise following the normal distribution \( N(0, \mathbf{R}) \), where \( \mathbf{R} = q^2 / \Delta \cdot \mathbf{I} \) is the variance–covariance matrix of the noise, and \( q \) is a parameter representing dynamics uncertainty. If there is no noise in the dynamics (namely, \( q = 0 \)), \( \mathbf{z} \) is drawn into one of the fixed points \( \phi_i \) by repeating the update. The dynamics uncertainty represents the amount of noise with which the decision maker expects the state variable to be changed, which is interpreted as the tendency for state variables to switch between fixed points.

In the BAM, it is assumed that an observation, denoted by a vector \( \mathbf{x}_t \), are generated corresponding to one of the attractors, which is represented by Eq. (2).

\[
\mathbf{x}_t = \mathbf{M} \cdot \sigma (\mathbf{z}_t) + \mathbf{v}_t ,
\]

(2)

where \( \mathbf{M} \) is a feature matrix of \( [\mathbf{\mu}_1 , \mathbf{\mu}_2 , \ldots , \mathbf{\mu}_K] \), and a feature vector \( \mathbf{\mu}_i \) links \( \phi_i \) and memory. \( \sigma(\cdot) \) is a sigmoid function that maps all values \( z_j \in \mathbf{z} \) to values between 0 and 1. Owing to the winner-takes-all dynamics of \( \mathbf{z} \), the fixed point \( \phi_i \) is mapped to a vector \( \sigma (\mathbf{\mu}_i) \), where one element is approximately 1 and the other elements are approximately zero. The linear combination \( \mathbf{M} \cdot \sigma (\phi_i) \) thus becomes almost \( \mathbf{\mu}_i \). Note that \( \mathbf{\mu}_i \) is a feature vector of the same dimension as an observation values \( \mathbf{x}_t \). \( \mathbf{v}_t \) is drawn from a normal distribution \( N(0, \mathbf{I}) \).

The BAM estimates the posterior density function of \( \mathbf{z} \) from input sequences of \( \mathbf{x}_t \). In the decision-making process model, the estimation of the decision state \( \mathbf{z} \) according to the observation value \( \mathbf{x} \) involves estimating \( \mathbf{z}_t \) that gives the minimum variance of \( \mathbf{x}_t \) in the Eq. (2). In this paper, the particle filter (PF) is used for this estimation. Using the PF, the probability density function of \( \mathbf{z}_t \) at time \( t \), \( P(\mathbf{z}_t | \mathbf{x}_t) \) is estimated and the probability density \( P(\mathbf{z}_t = \phi_i | \mathbf{x}_t) \) for each attractor \( \phi_i \) is referred to as confidence. In the decision-making process model, when the confidence for the attractor \( \phi_i \), \( P(\mathbf{z}_t = \phi_i | \mathbf{x}_t) \), exceeds the threshold \( \lambda \), the attractor \( \phi_i \) is finally adopted as the result of estimation. Additionally, if such \( \phi_i \) does not exist, we will not do anything.

3. Rate control method based on a human cognitive model

3.1 Overview

The goal of the proposed method is to maximize the QoE of individual users in consideration of network and application conditions that change dynamically and the user preference for video quality, by selecting appropriate bitrates of a video segments. For that purpose, it is important to properly process observation information of network and application that can be available to the client device, and to correctly recognize the current conditions of the client device. In this paper we adopt the BAM to recognize them. Based on the cognitive result, our method selects a bitrate according to the user preference to video quality. An overview of our proposal is shown in Fig. 1.

As described in Sec. 1., it is assumed that the user preference to video quality can be represented by a QoE model with “average bitrate,” “average bitrate variations,” and “rebuffering time.” In our method, bitrate selection algorithms suit for improving the QoE of different users considering their preferences are prepared in advance, and according to the given QoE model of a user, one of the bitrate selection algorithm is chosen.

3.2 Cognition of network and application conditions

In our method, the BAM runs in the client application and observes the network communication quality and video quality in the application layer. According to the observation, the BAM estimates which feature vector is closest to the current observation among feature vectors designed in advance, and chooses the video bitrate of the next segment to be downloaded according to the estimation result.

a) Observation information

As the network communication quality and application conditions to be considered, we focus on the available bandwidth and the buffer occupancy. These are widely adopted metrics in ABR algorithms.
for DASH. An observation is performed every time the download of a segment is completed. dash.js [20] can acquire the playback buffer occupancy at the present moment. On the available bandwidth, our method measures instantaneous network throughput, as used in dash.js, with using a passive measurement method where an network throughput is calculated by dividing the segment size by the download time for it. We define the throughput as the estimated available bandwidth and use it as a part of input to BAM.

In our method, we prepare $K$ sets of the playback buffer occupancy and the available bandwidth as feature vectors in advance, each of which equals $\mu_i$. The observation information $x_i$ input to the BAM at $t$ is also a set of the available bandwidth and the buffer occupancy, and these pieces of information are acquired on the client device. From $x_i$, the BAM estimates the current decision state $z_i$. When $z_i$ is identified as one of the pre-specified attractor, which is represented by $\phi_i$, the BAM outputs $\mu_i$ as a result of decision making. our method selects an appropriate video bitrate according to the decision result.

b) Attractor and feature vector design
In this section, we explain how to design the attractor and feature vector of the BAM. The attractor design means to decide how many attractors are prepared, namely to decide the value of $K$. Since $K$ is the number of network and application conditions we want to discriminate, we determine feature vectors. On the available bandwidth, we want to know whether it can accommodate bitrates that a client application can choose from a MPD file. Then, the number of the network communication quality condition is set to that of available encoded videos. On the buffer occupancy, we want to know if the current buffer is abundant or depleted. Then, the buffer occupancy is classified into three types, safe, transient, and risky, and the number of buffer occupancy types. Attractors $\phi_1 - \phi_K$ are combination of all the combination of the available bitrates and the buffer occupancy types.

Aiming at improving the user QoE in video streaming services, we consider the difference in user preferences for video quality. Although, as mentioned in Sec. 2.1, there are various factors that affect the user QoE, in this paper, we focus on “average video bitrate,” “bitrate variations,” and “rebuffering time,” which are taken up in many research. Thus, we use a QoE model consisting of these three factors as shown in Eq. (3).

\[
QoE(\mu, \lambda) = \sum_{n=1}^{N} q(R_n) - \mu \sum_{n=1}^{N} T_n - \lambda \sum_{n=1}^{N} |q(R_{n+1}) - q(R_n)|
\]  

(3)

where $\lambda$ and $\mu$ are non-negative weighting parameters for rebuffering time and bitrate variations, respectively. Here, we assume that occurrence of rebuffering greatly affects the user QoE compared to the other factors in video streaming services as pointed in [9]. In the QoE model, as a premise of avoiding rebuffering, user preference for these factors is classified into two types which are “prefer high image quality” and “prefer stable image quality.” Our method provides a simple bitrate selection algorithm for each user preference type.

c) Bitrate selection algorithm for the preference type: “Prefer high image quality”
For users who prefer high image quality, bitrate selection algorithm tolerates the risk of occurrence of rebuffering and positively selects a higher bitrate. In case the buffer occupancy is abundant, a higher bitrate than the estimated available bandwidth is selected. Even if it is not abundant, unless it becomes near exhausted, this algorithm keeps a last bitrate or choose the highest bitrate that can be accommodated in the estimated available bandwidth.

d) Bitrate algorithm for the preference type: “prefer stable image quality”
For users who prefer less average bitrate variations, a bitrate selection algorithm suppresses frequency of bitrate switching and magnitude of the bitrate changes. In order to suppress the bitrate variations, the algorithm basically keeps a last bitrate. Even when changing the bitrate, only one or two higher/lower bitrate than the current one is selected. Note that in case the buffer occupancy is abundant, the algorithm selects a bitrate higher than current one in order to avoid buffer overflow.

4. Simulation Evaluation
We evaluate our proposed method assuming a video streaming service with it in a situation where the available bandwidth changes dynamically. In following section, we explain a QoE model used in the evaluation, and evaluation results.

4.1 Simulation settings
For video setting, The 5-minute movie was encoded at five bitrates (0.5, 1.0, 1.5, 3.0, and 5.0Mbps) and partitioned into 1-second segments. For the network bandwidth to be observed in the simulation, the average value of available bandwidth is changed every 30 s from the start time and the average value thereof is switched to 9.0, 4.0, 2.0, 1.0, 2.0, 4.0, and 9.0Mbps in order from the start time. Additionally, we add a noise to each average value of available bandwidths. Each noise follows a normal distribution having an average of zero and standard deviation of $l_{noise}$ (%) of each average value of the available bandwidth, where $l_{noise}$ is defined as noise level hereafter.

For BAM parameters, the set of the buffer occupancy embedded

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in each attractor, $B_{\text{risky}}$, $B_{\text{transient}}$, and $B_{\text{safe}}$, is 10, 30, and 50 s, respectively, and a set of the available bandwidth embedded in each attractor $T$ corresponds to the set of bitrates available to the client; i.e., $T_1 = 0.5$, $T_2 = 1.0$, $T_3 = 1.5$, $T_4 = 3.0$, and $T_5 = 5.0$ (Mbps). Therefore, the number of the BAM’s attractor $K$ is equal to 15. For parameters of the BAM, we set sensory uncertainty $r$ to 0.5, dynamics uncertainty $q$ to 0.5, and a threshold of confidence $\lambda$ to 0.01.

4.2 Benchmark method

In this evaluation, we compare not only the performance of the bitrate selections for each user preference type, but we also compare the performance of them with an ABR algorithm which is proposed in existing research, BOLA-O [16] as benchmarks. BOLA is an algorithm used in dash.js [20] that is a client-side reference implementation of MPEG-DASH, and a method expected to be widely used. We compare the performance of our proposed method with that of BOLA-O as BOLA-O is one of practical ABR algorithms.

4.3 Metrics

In this evaluation, we investigate the performance of our method in terms of played video quality, and evaluate its performance under QoE. For played video quality, we measure an average bitrate, average bitrate variations, and buffering time in overall video playback. The average bitrate is calculated by dividing the total size of all segments by the overall video playback time. The average bitrate variations is calculated by dividing the sum of the absolute values of difference in bitrate between itself and it’s previous segment by the overall video playback time. For the QoE model, in order to evaluate from the viewpoint of difference in user preferences, two sets of weighting parameters of the QoE model shown in Eq. (3) are used, that is, $\lambda = 1$ and $\mu = 10$ for “prefer high image quality” type and $\lambda = 3$ and $\mu = 10$ for “prefer stable image quality” type.

4.4 Simulation result

Figure. 2(a) shows the average bitrate of proposed method and that of BOLA. In Fig. 2(a), our bitrate selection algorithm for “prefer high image quality” in both noise level 1 and noise level 2 realizes a high average bitrate. This is because our method for “prefer high image quality” adopts an algorithm that positively selects a higher bitrate according to the set of the buffer occupancy and the estimated available bandwidth, described in Sec. c).

The result of the average variations of bitrate is shown in Fig. 2(b). For each noise level, our bitrate selection algorithm for “prefer stable image quality” achieves a greatly lower average variations of bitrate than BOLA-O as shown in Fig. 2(b). The average variations of bitrate in our algorithm for “prefer high image quality” is also much lower than that of BOLA-O. The reason why the average bitrate variations of the selection algorithm for “prefer stable image quality” is lower than others is that the method takes a policy to positively keep the current bitrate according to the set of buffer occupancy and estimated available bandwidth, which is described in Sec. d). In addition to the characteristics of the bitrate selection algorithm, less fluctuated recognition of the BAM makes it possible to realize the performance intended by the algorithm with a high accuracy.

The result of rebuffering time is shown in Fig. 2(c). While BOLA-O causes rebuffering in some situations, our bitrate selection algorithms for both “prefer high image quality” and “prefer stable image quality” do not lead rebuffering for each noise level.

We compare the performance of our method and BOLA-O in terms of the user QoE. The results of QoE values are normalized with dividing by the QoE of BOLA-O (therefore the QoE of BOLA-O is always 1). In Fig. 3(a), we compare our selection algorithm for “prefer high image quality” with BOLA-O in terms of QoE defined by Eq. (3) for the preference type “prefer high image quality” ($\lambda = 1$, $\mu = 10$).

The QoE of our bitrate selection algorithm for “prefer high image quality” is higher than BOLA-O. Although there is a less difference between our method and BOLA-O in the average bitrate at network profile 1, our algorithm for “prefer high image quality” is greatly superior to BOLA-O in terms of the average bitrate variation. Therefore, although the QoE model is for “prefer high image quality”, the QoE of our method is larger than BOLA-O.

The result of the QoE for the preference type “prefer stable image quality” ($\lambda = 3$, $\mu = 10$) is shown in Fig.3(b). Since the difference in bitrate variations between our selection algorithm for “prefer stable image quality” and BOLA-O is so large, which imposes a large penalty on this QoE model, the QoE of our bitrate selection algorithm for “prefer stable image quality” is higher than that of BOLA-O as the figure shows.

Thus, through computer simulation, we can conclude that the bitrate selection algorithm for suppression of the switching frequency of the bitrate can be realized under the condition where observation information greatly fluctuates. Our proposed method can improve the QoE for each user preference type by using an appropriate bitrate selection algorithm according to user preference. In the following section, we show our proposed method works as intended in a real video application.

5. Conclusion

In this paper, we proposed a rate control method that selects the appropriate video bitrate according to user preference, aiming at im-
proving the QoE of each user by selecting bitrate according to the type of user preference to video quality. In order to select an appropriate bitrate according to the user preference type, it is essential to recognize information of user device and its network communication quality. In our proposed method, for the cognition of such information, we focused on the cognitive model of a human’s brain, the Bayesian attractor model and we associate simple bitrate algorithms with the cognitive result according the user preference type, “prefer high image quality” and “prefer stable image quality.”

In our computer simulation, we compare the performance of our proposed method with BOLA-O algorithm [16] adopted in dash.js [20] as a benchmark.

The simulation result showed that our proposed method for the user preference type “Higher image quality” improved average bitrate by up to 16% and a QoE metric by 18% – 36% for the user preference type “Higher image quality” compared with BOLA-O, and our proposed method for the user preference type “Avoid instability” reduced average bitrate variations by 98% and a QoE by 52% – 121% compared with BOLA-O.

Our future work includes to evaluate our proposed method in real mobile network environment and to implementation a cognitive revision mechanism by using a meta-cognitive algorithm that can adapt to situations where the model of environmental variation itself changes.

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